



PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : Kuansan Wang

Appln. No.: 09/960,233

Filed : September 20, 2001

For : MARKUP LANGUAGE EXTENSIONS OF  
WEB ENABLED RECOGNITION

Docket No.: M61.12-0357

Group Art Unit:2152

COPY OF PAPERS  
ORIGINALLY FILED  
02/12/02

**RESPONSE TO REQUEST FOR SUBSTITUTE PAPERS**

Customer Service Window  
Room 1B03  
Crystal Plaza Building 2  
Arlington, VA 22202

Sir:

In response to the Request for Substitute Papers mailed March 18, 2002, Applicant encloses copies of the following documents:

1. Request for Substitute Papers
2. Copy of date stamped postcard
3. Copy of Response to File Missing Parts of Application
4. Copy of Cover Letter for Resending Correspondence
5. Copy of Fee Transmittal
6. Copy of Petition for Two-Month Extension of Time and Fee
7. Copy of Notice to File Missing Parts of Application
8. Copy of Declaration and Power of Attorney
9. Copy of Letter to Official Draftsperson
10. Copy of Corrected Filing Receipt.

Charge Authorization Form PTO 2038 filed January 24, 2002 in the amount of \$2218.00 has been omitted because it

appears the filing fee has been paid based on the earlier submission. A copy of the Filing Receipt indicating that the filing fee has been paid is enclosed.

The Director is authorized to charge payment of any additional fees associated with this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this sheet is enclosed.

Respectfully submitted,  
WESTMAN, CHAMPLIN & KELLY, P.A.

By:

  
Steven M. Koehler, Reg. No. 36,188  
Suite 1600 - International Centre  
900 Second Avenue South  
Minneapolis, Minnesota 55402-3319  
Phone: (612) 334-3222 Fax: (612) 334-3312

SMK:lrs



## PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/960,233	09/20/2001	Kuansan Wang	M61.12-0357

## CONFIRMATION NO. 5869

## FORMALITIES LETTER



\*OC00000006955596\*

WESTMAN, CHAMPLIN & KELLY  
A PROFESSIONAL ASSOCIATION  
SUITE 1600  
900 SECOND AVENUE SOUTH  
MINNEAPOLIS, MN 55402-3319

Date Mailed: 10/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$512.
  - \$432 for 48 total claims over 20.
  - \$80 for 2 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 932.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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Filed : September 20, 2001

Group Art Unit:2152

For : MARKUP LANGUAGE EXTENSIONS FOR  
WEB ENABLED RECOGNITION

Docket No.: M61.12-0357

**LETTER TO OFFICIAL DRAFTSMAN  
(PROPOSED CORRECTIONS)**

Commissioner for Patents  
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS  
BEING SENT BY U.S. MAIL, FIRST CLASS,  
TO THE ASSISTANT COMMISSIONER FOR  
PATENTS, WASHINGTON, D.C. 20231, THIS

24 DAY OF April, 2002

John Koehler  
PATENT ATTORNEY

Sir:

Applicant respectfully requests approval of substitute drawings submitted with the accompanying Response to Notice to File Missing Parts.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By: John Koehler

Steven M. Koehler, Reg. No. 36,188  
Suite 1600 - International Centre  
900 Second Avenue South  
Minneapolis, Minnesota 55402-3319  
Phone: (612) 334-3222 Fax: (612) 334-3312

SMK:jmb



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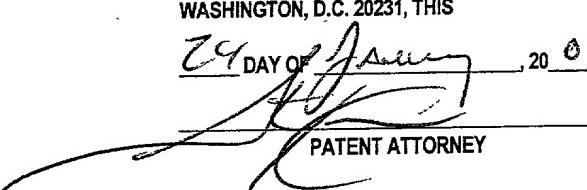
Group Art Unit: 2152

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED  
UNDER 37 C.F.R. §§ 1.53(f) AND 1.16(e)**

**Box Missing Parts**  
Commissioner for Patents  
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
SENT BY U.S. MAIL, FIRST CLASS, TO THE  
ASSISTANT COMMISSIONER FOR PATENTS,  
WASHINGTON, D.C. 20231, THIS

24 DAY OF January, 2002.

  
PATENT ATTORNEY

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted dated October 23, 2001, Applicant encloses the following documents to complete the above-identified patent application filed September 20, 2001:

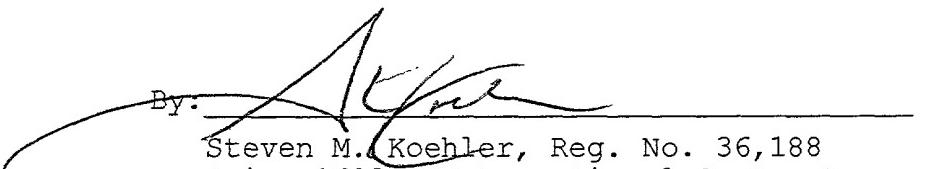
1. PTO-2038 in the amount of \$2,218 (to cover the filing fee \$1,688.00, surcharge fee of \$130.00 and extension of time fee of \$400.00).
2. Combined Declaration and Power of Attorney executed by the inventor(s).
3. Fee Calculation Sheet (duplicate).
4. Notice to File Missing Parts of Application-Filing Date Granted.
5. Petition for Two-Month Extension of Time and Fee.

6. Letter to Official Draftsperson.

7. Sixteen (16) sheets of drawings.

The Director is authorized to charge payment of any additional fees associated with this paper or credit any overpayment to Deposit Account No. 23-1123.

Respectfully submitted,  
WESTMAN, CHAMPLIN & KELLY, P.A.

By: 

Steven M. Koehler, Reg. No. 36,188  
Suite 1600 - International Centre  
900 Second Avenue South  
Minneapolis, Minnesota 55402-3319  
Phone: (612) 334-3222 Fax: (612) 334-3312

SMK:jmb

*A copy of this notice **MUST** be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

RECEIVED  
PATENT AND TRADEMARK OFFICE  
U.S. DEPARTMENT OF COMMERCE  
JULY 1998

# FEE TRANSMITTAL



Complete if Known	
Application No.	09/960,233
Filing Date	September 20, 2001
First Named Inventor	Kuansan Wang
Title	MARKUP LANGUAGE EXTENSIONS FOR WEB ENABLED RECOGNITION
Group Art Unit	2152
Examiner Name	
Atty. Docket Number	M61.12-0357

Total Amount of Payment \$ 2218

## METHOD OF PAYMENT (Check One)

1.  The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123. Westman, Champkin & Kelly, P.A.

2.  PTO-2038 Enclosed

## FEE CALCULATION (Continued)

### 3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid		
Code	(\$)	Code	(\$)		
105	130	205	65	Surcharge - Late filing fee or oath	130
127	50	227	25	Surcharge - Late provisional Filing Fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For Filing a Request for Reexamination. (ex parte)	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	400
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	280	980	Extension for reply within fifth month	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
148	110	248	55	Terminal Disclaimer Fee	
140	110	240	55	Petition to Revive - unavoidable	
141	1,310	241	670	Petition to Revive - unintentional	
142	1,310	242	670	Utility/Reissue issue fee (inc. advance copies)	
143	490	243	260	Design issue fee (inc. advance copies)	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
Other Fee (specify) _____			Subtotal (3) \$530		

Subtotal (2) \$ 948

Signature

(Steven M. Koehler)

Date 4/28/02

Reg. No. 36,188

Deposit Account No. 23-1123